

PATENT APPLICATION

Sheet 1 of 2

FORM PTO-1449 LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary)	ATTY. DOCKET NO. 200311662	APPLICATION NO.	CONFIRMATION NO.
APPLICANT Gabriel Wechter et al.			
FILING DATE Her with		GROUP	

REFERENCE DESIGNATION

U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	PUBLICATION DATE	NAME	Pages, Columns, Lines Where Relevant Passages or Figures Appear
/DB/	1A	5,528,516	06-18-96	Yemini et al.	
/DB/	1B	5,661,668	08-26-97	Yemini et al.	
/DB/	1C	6,249,755	06-19-01	Yemini et al.	
	1D				
	1E				
	1F				
	1G				
	1H				
	1I				
	1J				
	1K				

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	PUBLICATION DATE	NAME OF PATENTEE OR APPLICANT	Pages/Columns/Lines Where Relevant Passages/Figures Appear	Check if Translation attached
	1L					
	1M					
	1N					
	1O					
	1P					

OTHER REFERENCES (including Author, Title, Date, Pertinent Pages, etc.)

/DB/	1Q	S. Kliger et al., "A Coding Approach to Event Correlation", May 1995, pages 1-13.
/DB/	1R	A Product of Smarts, "Event Modeling with the MODEL Language: A Tutorial Introduction", 1996, pages 1-19.
/DB/	1S	A Product of Smarts, "High Speed & Robust Event Correlation", 1996, pages 1-15.

EXAMINER
/Douglas Blair/

DATE CONSIDERED
04/26/2007

PATENT APPLICATION

Sheet 2 of 2

<p>FORM PTO-1449</p> <p>LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT</p> <p>(Use several sheets if necessary)</p>	<p>ATTY. DOCKET NO. 200311662</p>	<p>APPLICATION NO.</p>	<p>CONFIRMATION NO.</p>
<p>APPLICANT Gabriel Wechter t al.</p>			
<p>FILING DATE Herewith</p>		<p>GROUP</p>	

REFERENCE DESIGNATION U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	PUBLICATION DATE	NAME	Pages, Columns, Lines Where Relevant Passages or Figures Appear
	2A				
	2B				
	2C				
	2D				
	2E				
	2F				
	2G				
	2H				
	2I				
	2J				
	2K				

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	PUBLICATION DATE	NAME OF PATENTEE OR APPLICANT	Pages/Columns/Lines Where Relevant Passages/Figures Appear	Check if Translation attached
	2L					
	2M					
	2N					
	2O					
	2P					

OTHER REFERENCES (including Author, Title, Date, Pertinent Pages, etc.)

/DB/	2Q	Smarts, "How to Meet Scalability Requirements for Managing the World's Most Complex IT Systems", October 2002, pages 1-14.
/DB/	2R	Smarts, "SMARTS InCharge MPLS IP VPN Manager, September 2002, pages 1-11.
/DB/	2S	Smarts, "The InCharge Common Information Model", March 2003, pages 1-31.

EXAMINER

/Douglas Blair/

DATE CONSIDERED

04/26/2007



PATENT APPLICATION

Sheet 1 of 1

FORM PTO-1449

LIST OF PATENTS AND PUBLICATIONS FOR
APPLICANT'S INFORMATION DISCLOSURE
STATEMENT

(Use several sheets if necessary)

ATTY. DOCKET NO.

200311662-1

APPLICATION NO.

10/667,332

CONFIRMATION NO.

APPLICANT

Gabriel Wechter et al

FILING DATE

Sept. 23, 2003

GROUP

REFERENCE DESIGNATION

U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	PUBLICATION DATE	NAME	Pages, Columns, Lines Where Relevant Passages or Figures Appear
/DB/	1A	5,805,819	Sept. 8, 1998	Chin et al	
/DB/	1B	6,154,220	Nov. 28, 2000	Prakriya et al	
/DB/	1C	5,535,403	July 9, 1996	Li et al	
	1D				
	1E				
	1F				
	1G				
	1H				
	1I				
	1J				
	1K				

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	PUBLICATION DATE	NAME OF PATENTEE OR APPLICANT	Pages/Columns/Lines Where Relevant Passages/Figures Appear	Check if Translation attached
/DB/	1L	WO03/058868	Jul 17, 2003	Tandon et al		
	1M					
	1N					
	1O					
	1P					

OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)

/DB/	1Q	UK Search Report dated Jan. 24, 2005
	1R	
	1S	

EXAMINER

/Douglas Blair/

DATE CONSIDERED

04/26/2007